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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
JULIAN B. KELSEY) : Examiner: NYA
Application No.: 09/737,589) : Group Art Unit: NYA
Filed: December 18, 2000) :
For: STRUCTURES TO REPRESENT) :
POORLY FORMED HTML) :
DOCUMENTS) April 12, 2001

Commissioner for Patents
Washington, D.C. 20231

CLAIM TO PRIORITY

Sir:

Applicant hereby claims priority under the
International Convention and all rights to which he is entitled
under 35 U.S.C. § 119 based upon the following Australian
Application:

PQ 4799 filed December 22, 1999

A certified copy of the priority document is
enclosed.

Applicant's undersigned attorney may be reached in



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our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,


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09/737.589

Patent Office
Canberra

I, KAY WARD, TEAM LEADER EXAMINATION SUPPORT AND SALES hereby certify that annexed is a true copy of the Provisional specification in connection with Application No. PQ 4799 for a patent by CANON KABUSHIKI KAISHA filed on 22 December 1999.

I further certify that pursuant to the provisions of Section 38(1) of the Patents Act 1990 a complete specification was filed on 18 December 2000 and it is an associated application to Provisional Application No. PQ 4799 and has been allocated No. 72328/00.

WITNESS my hand this
Fifth day of January 2001

KAY WARD
TEAM LEADER EXAMINATION
SUPPORT AND SALES



CERTIFIED COPY OF
PRIORITY DOCUMENT

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ORIGINAL

AUSTRALIA

Patents Act 1990

PROVISIONAL SPECIFICATION FOR THE INVENTION ENTITLED:

Structures to Represent Poorly Formed HTML Documents

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This invention is best described in the following statement:

STRUCTURES TO REPRESENT POORLY FORMED HTML DOCUMENTS

Copyright Notice

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5 related materials from associated patent office files for the purposes of review, but otherwise reserves all copyright whatsoever.

Technical Field of the Invention

The present invention relates to structuring within electronic documents and, in particular, to structuring forms within computer languages such as mark-up languages and
10 documents formed therefrom.

Background Art

The field of computer coding covers many different coding and program schemes all of which incorporate certain rules that define the particular coding scheme and which generally must be adhered to by uses of such schemes in order for results to be
15 derived therefrom. For example, a person programming in the language Pascal must ensure their code corresponds the appropriate Pascal syntax in order for the Pascal program to operate. Where code does not comply, a "syntax error" will occur upon compilation of the program.

As opposed to programming in a highly structured language, more recently it has
20 become common to alter or create documents using so called "mark-up languages" to provide a mechanism by which content in a document is presented in a particular environment, usually upon a display screen or printing device. One example of this is the Hypertext Mark-up Language (HTML) and another is the Extended Mark-up Language (XML). The purpose of such mark-up languages is to provide additional notation to

content desired to be displayed or presented, so as to causes the content to be displayed or presented in fashion desired by the author.

The HTML document format pervades the Internet and the World Wide Web. In practice, documents structured with HTML mark-up are often in error in that they do not
5 comply the particular internationally recognised HTML standard operating at the time of document creation. The current HTML standard at the time of drafting this patent specification may be found at <http://www.w3c.org> and <http://www.w3c.org/TR/REC-htm140/>.

The current standard for HTML documents insists that such documents be expressed as trees. Such a structure requires that each element of the document must be
10 wholly contained by another element and, as a consequence, elements may not overlap. The experience of many indicates that it is easy to produce a document that superficially looks like HTML, but which in fact violates the tree-like hierarchical structures established by the HTML standard. Further, whilst human interpretation of such erroneous documents can often resolve ambiguities, there is often a mismatch between
15 what makes sense according to the current standard and that which the author of the HTML document actually intends.

Computer applications which read HTML approach such problems in a number of different ways. Some applications reject the bad HTML structure, thereby omitting the content or rendering the content in non-intuitive ways. Examples of these include
20 "OPERA" and a number of smaller distribution Internet browsers which are preferred by some users for much more strict behaviours. Other applications try to match the user's likely intention despite of the strict errors contained in the HTML source. Examples of these include "Internet Explorer" (trade mark) manufactured by Microsoft Corporation, "Netscape Navigator" (trade mark) manufactured by Netscape Corporation, and
25 "WebRecord" (trade mark) marketed by Canon Inc. In spite of the reasonable efforts the

present inventors to determine how Internet Explorer and Netscape Navigator handle variations away from strict HTML, the present inventors have not been able to determine how those products perform in a manner so as to apparently resolve ambiguous or erroneous HTML.

5 A significant problem that arises from such in compliance with the HTML standard is that there exist other languages and tools which interact with HTML documents, for example scripting language like JAVA script and styling languages like CSS2 (Cascading Style Sheet 2). Such tools expect that there is a strict tree structure in an HTML document and, as a consequence, often have no defined behaviour when
10 interpreting a poorly formed HTML document. As a consequence an authored result cannot be guaranteed.

Disclosure of the Invention

It is an object of the present invention to substantially overcome, or at least ameliorate, one or more disadvantages of existing arrangements.

15 According to a first aspect of the invention, there is provided a method of restructuring an input HTML document to comply with strict HTML, said method comprising the steps of:

(a) linearly traversing said input HTML document to create a hierarchical tree structure representation, said traversal maintaining a current insertion point for
20 elements within said tree structure representation;

(b) during said traversal, identifying those elements of said input HTML document that violate strict HTML and, for each said identified element:

(b)(i) retracing said tree structure representation from said current insertion point to identify an further insertion point from which said identified

element can depend, said retracing comprising noting each parent element of said identified element passed during said retracing;

5 (b)(ii) at said further insertion point, creating new elements in said tree structure representation corresponding to those said parent elements passed during said retracing, said new elements being created in reverse chronological order to that encountered during said retracing; and

(b)(iii) appending said identified element to a terminal one of said new elements; and

10 (c) converting said tree structure representation into an output HTML document.

According to another aspect of the invention, there is provided an apparatus for implementing any one of the aforementioned methods. According to another aspect of the invention there is provided a computer program product including a computer readable medium having recorded thereon a computer program for implementing any one of the methods described above. Other aspects of the invention are also disclosed.

Brief Description of the Drawings

A number of preferred embodiments of the present invention will now be described with reference to the drawings, in which:

Fig. 1 depicts the tree structure of an HTML document;

20 Figs. 2A to 2F depict various processing stages according to an embodiment of the present invention; and

Fig. 3 is a schematic block diagram of a general purpose computer upon which the preferred embodiment of the present invention can be practiced.

Detailed Description including Best Mode

The principles of the preferred embodiment described herein have general applicability to computer network browsing applications. However, for ease of explanation, of the preferred embodiment is described with reference to HTML and to browsers that operate on the World Wide Web (WWW) and standards applicable thereto.

5 However, it is not intended that the present invention be limited to the described method. For example, the invention may have application in other standards environments, such as error correction and data recovery for XML applications.

Prior to describing the preferred embodiment, it is appropriate to review particular standards issues addressed thereby. For instance, elements in HTML have a
10 starting tag and an ending tag. For example, with a strict HTML syntax, a document having two paragraphs of bold text may be represented in the following fashion indicated by Code A below.

Code A

```

15      <BODY>
      <P><B>The first paragraph.</B></P>
      <P><B>The second paragraph.</B></P>
      </BODY>
```

Code A commences with an identifier as to the body of the particular element of code which is then followed by this first sub-tag P indicating the commencement of a
20 paragraph. That tag is followed by a sub-tag B which effectively "switches on" a bold function for the following elements. Code A then recites the text content of the first paragraph of the document, which is concluded by a switch which turns off the bold, and a further switch which terminates the paragraph. The second paragraph is also commenced using a paragraph opening tag followed by a corresponding bold switch-on
25 tag. The text of the second paragraph follows, then a bold switch-off tag and a paragraph terminator. The body is then terminated in an appropriate fashion.

Whilst Code A depicts strict HTML, the HTML standard permits some shortcuts or abbreviations. Code A may be abbreviated in the fashion represented below by Code B below.

Code B

5 <BODY>
 <P>*The first paragraph.*
 <P>*The second paragraph.*
 </BODY>

It will be seen from Code B that each of the paragraph terminating tags has been
10 omitted from the code. This is permitted by HTML because, in the case of the first paragraph, this is followed by paragraph opening tag. Since HTML does not permit the nesting of paragraphs, the standard readily resolves the opening of the new paragraph as requiring that the previous paragraph has closed. The same occurs with the body terminator.

15 A common error in HTML drafting is to omit some of the necessary tags required by strict interpretation. An example of this is seen in Code C where the bold turn-off tag in the first paragraph and the bold tags in the second paragraph have been omitted. Such an anomaly occurs because many authors consider such syntax mean that the bold extends into the second paragraph.

20 **Code C**

 <BODY>
 <P>*The first paragraph.*
 <P>*The second paragraph.*
 </BODY>

25 The situation presented in Code C above leads to the impossible situation of one element (in this case, the bold) somehow sharing two parents. In this case, the bold

element is started in the first paragraph and finished in the second paragraph. This interpretation has both paragraph elements as parents to the bold element.

Because a paragraph in HTML cannot be nested inside another paragraph, the beginning of a new paragraph terminates the previous paragraph and, consequently, must
5 terminate any elements that are children of the first paragraph.

There is another way this same type of error can occur in HTML documents, this being depicted in Code D below.

Code D

```
10      <BODY>  
      <P><B>The first paragraph.</P>  
      <P>The second paragraph.  
      </BODY>
```

In the case of Code D, the closing of the first paragraph is explicit but there still exists the ambiguous termination of the bold element.

15 In accordance with the preferred embodiment and the above examples, the HTML syntax errors identified above are resolved by noting any elements terminated by the start of the second paragraph and by creating child nodes (ie. special node with no direct mapping to HTML) in the second paragraph that are links back to the initial elements in the first paragraph. In the case of Code D, by the closing the first paragraph
20 is explicit, and the preferred embodiment uses the unexpected termination of the bold element as an instruction to create a link back to the next suitable containing element.

Fig. 1 illustrates the tree structure of the strict HTML of Code A discussed above. In Fig. 1, the body 102 is a root node of the expression tree forming the HTML document. The first paragraph 104 is depicted as a first child node and from which the
25 bold switch 106 depends, followed by the text of the first paragraph 108. Similarly, the

second paragraph is depicted as the second child 110 followed by its corresponding bold switch 112 and corresponding second paragraph text 114. In an HTML traversal of the tree 100, traversal commences at the root node 102 and then proceeds along a first branch to a first child 104. In order to progress to the terminal element of the first branch of the tree 100, one must pass through the bold switch 106 that operates on the text 108. In order to process the second branch, processing must reverse back along the first branch, switching off the bold 106 and terminating the first paragraph 104, before transiting the root node 102 to the second child node 110.

In the erroneous representation of Code C, the corresponding tree is incomplete in that the text 108 moves back directly to the paragraph 104 without transiting the bold 106. Under a strict HTML interpretation, and implementing limited error correction, such would provide for the second paragraph to be displayed in plain type, and not bold. In this particular example, one solution afforded by the present invention lies in recognizing the type of error in order to give the second bold switch 112 a link 120 back to the first bold switch 106 in a form that provides for correct HTML interpretation. However, there is no standard HTML representation for such a link. An alternative is to copy the desired structure from the complementary parent node.

The preferred embodiment addresses these issues by reconstructing the tree structure of the HTML document as the document is linearly read. Specifically, in such a reconstruction, each tag in a node does something to the tree, for example to start a node or end a node. Each node is created as being a child of the previous node. Errors occur when nesting within paragraphs is incomplete. A solution is that, as one traverses through the tree, to create a new node and to remember what has been traversed so that one can then trace back through the tree to correct the remaining nodes. As a consequence of such an arrangement, as represented in Fig. 1, the erroneous HTML may

be corrected by creating an intuitive link 120 from the second bold switch 112 back to the first bold switch 106.

A specific example of such a solution is shown in Figs. 2A to 2F in relation to the erroneous code of Code C depicted above.

5 Fig. 2A shows the inception of the tree structure comprising a root node 200 identified by the tag "body". Associated with the root node 200 is the corresponding insertion point 202 indicating a location at which a child node is to depend. As seen from Fig. 2B, a first child node 204 relating to the first paragraph is appended to the root node 200 at the insertion point 202. A new insertion point 206 is arranged depending
10 from the first child node. Fig. 2C follows in the similar fashion thereby the next node 208 corresponding to the bold switch is appended to the first child node 204, and a new insertion point 210 created.

Fig. 2D illustrates the inclusion of text 212 of the first paragraph and depending from the insertion point 210. It is noted in this regard that in Fig. 2D, the insertion
15 point 210 remains depending from the bold node 208 because, under the HTML standard, text cannot be conceived as a container of other tags (ie. additional nodes in the tree). In this regard, the text is the content of the document as opposed to something that marks-up the manner in which the content is presented.

The next step in the preferred embodiment is to attempt to add the second
20 paragraph to the tree as depicted in Fig. 2D. If the second paragraph of Code C were to be added at the current insertion point 210, such would violate the HTML rule that paragraphs cannot be nested within each other. According to the preferred embodiment, the insertion point 210 is retracted back along the tree to a point where the new paragraph can be appended. Specifically, in tracking back, it is noted that the bold node 208 is to be
25 recreated. The insertion point then passes to the paragraph 204. One paragraph cannot

depend from other, and thus paragraph 204 also requires recreation. Traversal then returns to the root node 200 where it is determined that a new paragraph may be appended thereto. The new instruction for the creation of the second paragraph is shown in Fig. 2E, with the creation of paragraph 214 appended to the root node 200. A new insertion
5 point 216 is created.

The next step in the processing is to recreate each of the elements that were passed in the first branch of the tree prior to the new instruction being added. The result is seen in Fig. 2F where, appended to the paragraph 214, is a bold element 218 corresponding to the bold element 208 having been traversed in the first branch of the
10 tree. The insertion point is updated to the point 222 at which text of the second paragraph 220 may be appended.

In the preferred embodiment, it is important that the extra node link back to the original node, rather than simply being another node of the same type, for example copied from the original node. The reason for this is that it is possible for languages to associate
15 information with nodes according to the structure of the HTML document, this being a more elegant and computationally flexible solution than the "brute-force" method of copying sections of code. In this regard, Code E below incorporates a component of CSS2 code that sets the colour of a bold element that is the child of a paragraph that is the first child of its parent.

20 **Code E**

```

                <HEAD>
                <STYLE>                                }
                P:first-child B{color:red}                } CSS2 code
                </STYLE>                                }
25                <BODY>
                <P><B>The first paragraph.
```

<P>The second paragraph.

</BODY>

The purpose of Code E will be appreciated is to colour the paragraphs with the colour red. The commonly expected behaviour of such code, given that it is represented by incorrect HTML, is that the second paragraph would thus also be coloured red. If the structural correction of the preferred embodiment had been the equivalent of putting a fully independent bold element in the second paragraph, then that second bold element would not have matched the selector for the rule which applied the colour of red. The preferred method for implementing such presentation is to work forward through the original HTML source document constructing the expression tree as the traversal proceeds. A notion of the current insertion point into the tree is maintained as the tree is formed. The input of the tree is broken into two types of instructions, firstly those that create a new node (either because of the new element tag or text content, or secondly to end a node, because of the existence of closing tags. When a new element is attempted to be added to be added to the tree, the new element is tested to determine if it is of a type that is permitted to be nested at the current insertion point. If the new element is acceptable, then the new element is added to the tree and a new insertion point created unless the element is of a type that must always be a leaf node in the tree. If it is not acceptable to add the new element at the current insertion point, the preferred embodiment works its way back through the parent nodes noting those parent nodes which are required to be recreated as link back nodes in the new node. If an acceptable parent node is identified, the new element node is created and the link back nodes are added to the new element node. If an ending tag is encountered, the tree is traversed back through the ancestors from the insertion point noting in order those nodes need to be linked back. This proceeds until an element is identified that requires termination. The parent of the terminated element then made to be the new insertion point to which the

new link back nodes are added. As each link back node is created, that node becomes an insertion point in its own right. Accordingly, if there are any multiple link back nodes, each would be created as a child of the previous link back in terms.

Returning the CSS2 code indicated in Code E above, and the method steps depicted in Figs. 2A to 2F, two alternative arise for the appropriate processing of the CSS2 information. The first alternative is to do a first pass on the tree to obtain all CSS2 information, being those which require the fetching of additional documents to get the CSS2 information. This information is retained in memory so that each time a node is placed, a check can be determined as to whether the CSS information applies and if so, it is then applied to the node. Such an alternative however will not work for dynamic HTML. A solution to this is a further alternative where, as in the step depicted by Fig. 2F, a vector is formed which links back between the second bold node 218 to the original bold node 208 from which it was created so that the CSS information can be copied from the original node but link being shown in Fig. 2F as the link 224.

The restructuring method of Figs. 2A to 2F and generally described herein are preferably practiced using a conventional general-purpose computer system 300, such as that shown in Fig. 3 wherein the restructuring processes are implemented as software, such as an application program executing within the computer system 300. In particular, the steps of the restructuring method are effected by instructions in the software that are carried out by the computer. The software may be divided into two separate parts; one part for carrying out the restructuring methods; and another part to manage the user interface between the latter and the user. The software may be stored in a computer readable medium, including the storage devices described below, for example. The software is loaded into the computer from the computer readable medium, and then executed by the computer. A computer readable medium having such software or

computer program recorded on it is a computer program product. The use of the computer program product in the computer preferably effects an advantageous apparatus for restructuring HTML documents in accordance with the embodiments of the invention.

The computer system 300 comprises a computer module 301, input devices such
5 as a keyboard 302 and mouse 303, output devices including a printer 315 and a display device 314. A Modulator-Demodulator (Modem) transceiver device 316 is used by the computer module 301 for communicating to and from a communications network 320, for example connectable via a telephone line 321 or other functional medium. The modem 316 can be used to obtain access to the Internet, and other network systems, such
10 as a Local Area Network (LAN) or a Wide Area Network (WAN).

The computer module 301 typically includes at least one processor unit 305, a memory unit 306, for example formed from semiconductor random access memory (RAM) and read only memory (ROM), input/output (I/O) interfaces including a video
interface 307, and an I/O interface 313 for the keyboard 302 and mouse 303 and
15 optionally a joystick (not illustrated), and an interface 308 for the modem 316. A storage device 309 is provided and typically includes a hard disk drive 310 and a floppy disk drive 311. A magnetic tape drive (not illustrated) may also be used. A CD-ROM drive 312 is typically provided as a non-volatile source of data. The components 305 to 313 of the computer module 301, typically communicate via an interconnected bus 304
20 and in a manner which results in a conventional mode of operation of the computer system 300 known to those in the relevant art. Examples of computers on which the embodiments can be practised include IBM-PC's and compatibles, Sun Sparcstations or alike computer systems evolved therefrom.

Typically, the application program of the preferred embodiment is resident on
25 the hard disk drive 310 and read and controlled in its execution by the processor 305.

Intermediate storage of the program and any data fetched from the network 320 may be accomplished using the semiconductor memory 306, possibly in concert with the hard disk drive 310. Reconstruction of the tree according to the preferred embodiment may be performed in the memory 306. In some instances, the application program may be
5 supplied to the user encoded on a CD-ROM or floppy disk and read via the corresponding drive 312 or 311, or alternatively may be read by the user from the network 320 via the modem device 316. Still further, the software can also be loaded into the computer system 300 from other computer readable medium including magnetic tape, a ROM or integrated circuit, a magneto-optical disk, a radio or infra-red transmission channel
10 between the computer module 301 and another device, a computer readable card such as a PCMCIA card, and the Internet and Intranets including e-mail transmissions and information recorded on Websites and the like. The foregoing is merely exemplary of relevant computer readable mediums. Other computer readable mediums may be practiced without departing from the scope and spirit of the invention.

15 The restructuring methods described above permit poorly formed HTML to be interpreted restructured into a syntax corresponding to the HTML standard. As a consequence, that restructured syntax may then be interpreted by a browsing application thereby enabling consistent reproduction according to the HTML standard.

Although examples described above in relation to the preferred embodiment
20 relate to text, paragraphs, and bold operators, similarly issues can arise through the interpretation of other switches, content, and the like which prevail the HTML standards. This may also include the provisional of italicised text, incorporation of images and the presentation and images in tables and the like.

Industrial Applicability

It is apparent from the above that the embodiment(s) of the invention are applicable to the computer and data processing industries, and in particular to computer network browsing software applications where the application may be exposed to a wide variety of documents, each purporting to coincide with a particular standard, but not
5 necessarily conforming to that standard.

The foregoing describes only one embodiment of the present invention, and modifications and/or changes can be made thereto without departing from the scope and spirit of the invention, the embodiment being illustrative and not restrictive.

In the context of this specification, the word "comprising" means "including
10 principally but not necessarily solely" or "having" or "including" and not "consisting only of". Variations of the word comprising, such as "comprise" and "comprises" have corresponding meanings.

~~Claims:~~

The claims defining the invention are as follows:

1. A method of restructuring an input HTML document to comply with strict HTML, said method comprising the steps of:

5 (a) linearly traversing said input HTML document to create a hierarchical tree structure representation, said traversal maintaining a current insertion point for elements within said tree structure representation;

(b) during said traversal, identifying those elements of said input HTML document that violate strict HTML and, for each said identified element:

10 (b)(i) retracing said tree structure representation from said current insertion point to identify an further insertion point from which said identified element can depend, said retracing comprising noting each parent element of said identified element passed during said retracing;

15 (b)(ii) at said further insertion point, creating new elements in said tree structure representation corresponding to those said parent elements passed during said retracing, said new elements being created in reverse chronological order to that encountered during said retracing; and

(b)(iii) appending said identified element to a terminal one of said new elements; and

20 (c) converting said tree structure representation into an output HTML document.

2. A method according to claim 1, wherein step (b)(iii) comprises creating a link from said appended identified element to a first said parent element encountered during
25 said retracting.

3. A method according to claim 2 wherein said link comprises a vector.

4. A method according to claim 1 wherein step (b)(iii) comprises copying a syntax
5 of a first said parent element encountered during said retracting to said appended
identified element.

5. A method according to any one of claims 1 to 4, wherein one or more of said
elements comprises information associated therewith, said method comprising the further
10 steps, before step (a) of:

performing an initial pass of said input HTML document to identify said
elements having said associated information, and maintaining a record of each such
element and the corresponding associated information whereby each time said element is
placed in said tree structure representation, the corresponding associated information is
15 associated therewith.

6. A method according to any one of the preceding claims comprising the further
step of:

(d) reproducing said output HTML document.
20

7. A method according to claim 6 wherein said output HTML document is
reproduced using a video display.

8. A method according to claim 6 wherein said output HTML document is
25 reproduced using a printer.

9. A method of restructuring an input HTML document to comply with strict HTML substantially as described herein with reference to the drawings or any one of the examples.

5

10. A printed document formed using the method of claim 8 or 9.

11. Apparatus for performing the method of any one of claims 1 to 9.

10 12. A computer program element comprising computer program code means to make a computer execute a procedure to perform the method of any one of claims 1 to 9.

13. A computer readable medium, having a program recorded thereon, where the program is configured to make a computer execute a procedure to perform the method of
15 any one of claims 1 to 9.

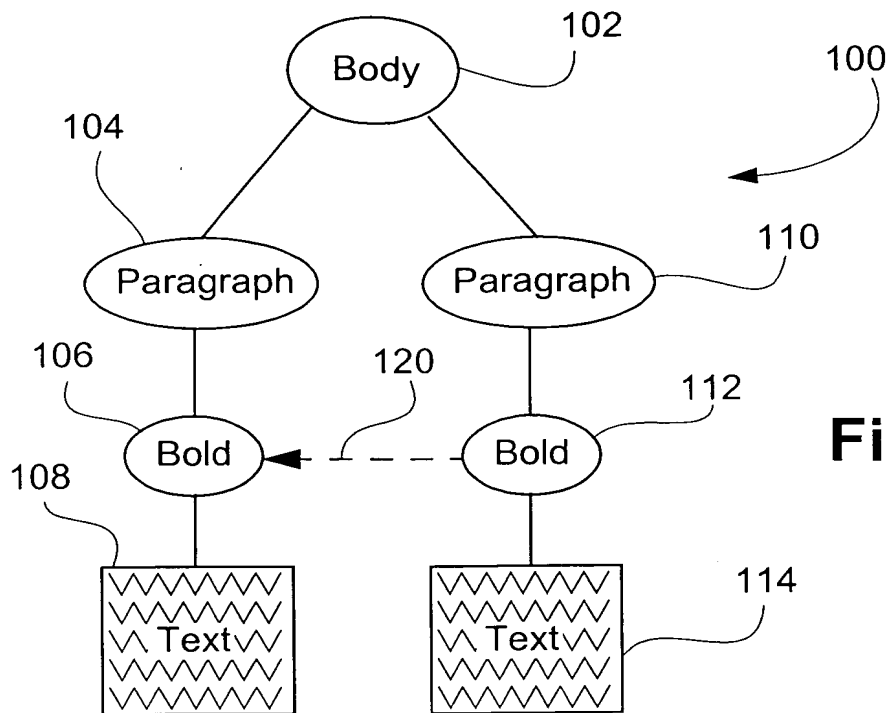
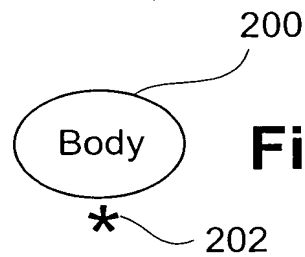
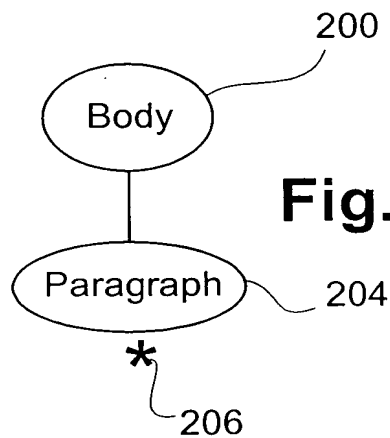
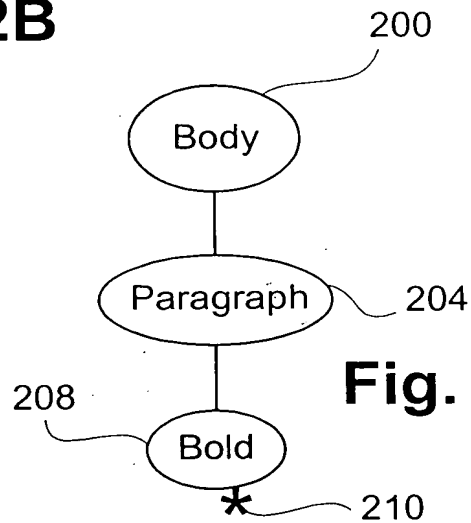
DATED this Twenty-second Day of December 1999

CANON KABUSHIKI KAISHA

Patent Attorneys for the Applicant

20

SPRUSON&FERGUSON

**Fig. 1****Fig. 2A****Fig. 2B****Fig. 2C**

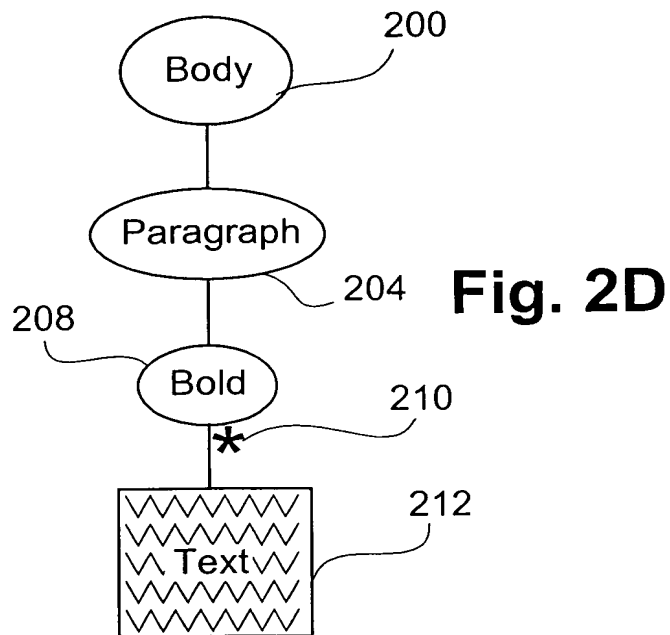
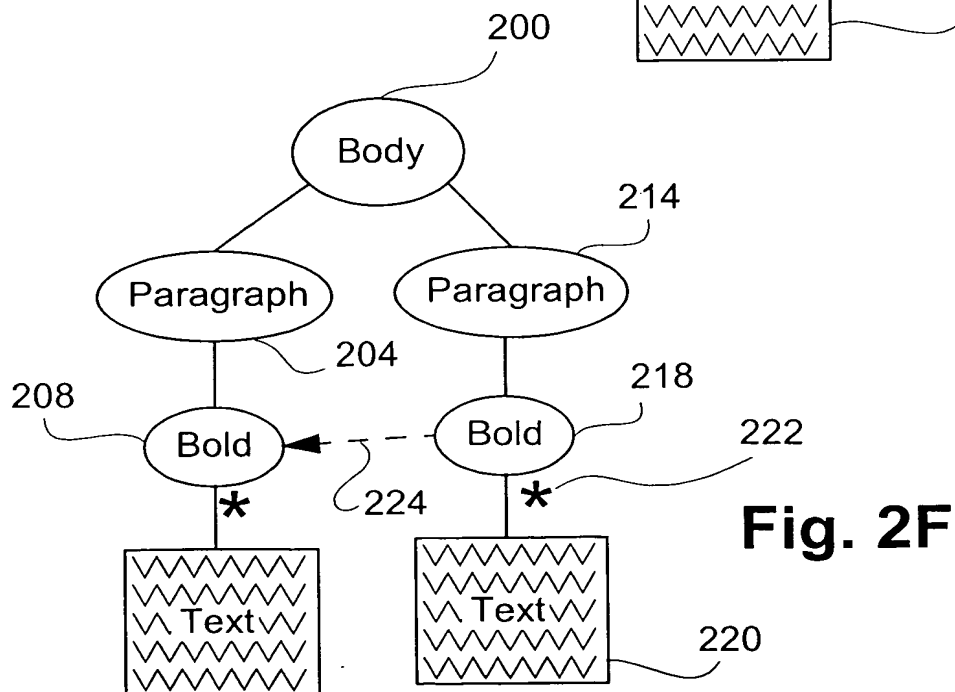
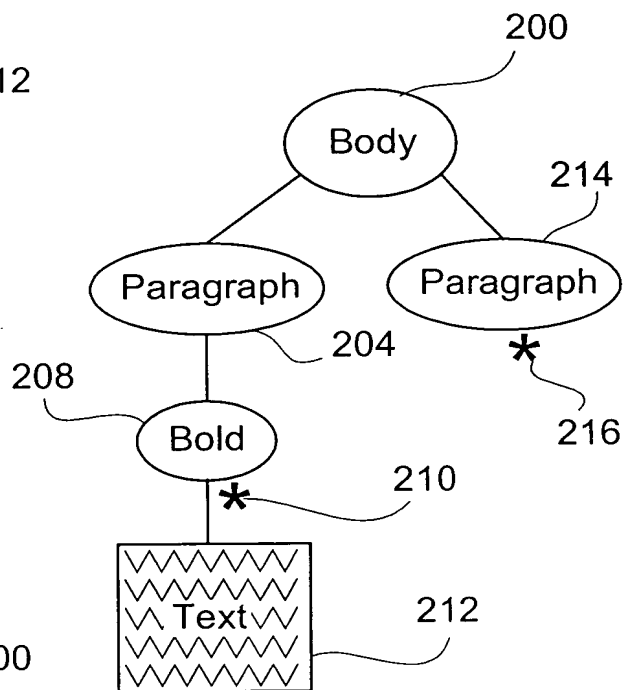


Fig. 2E



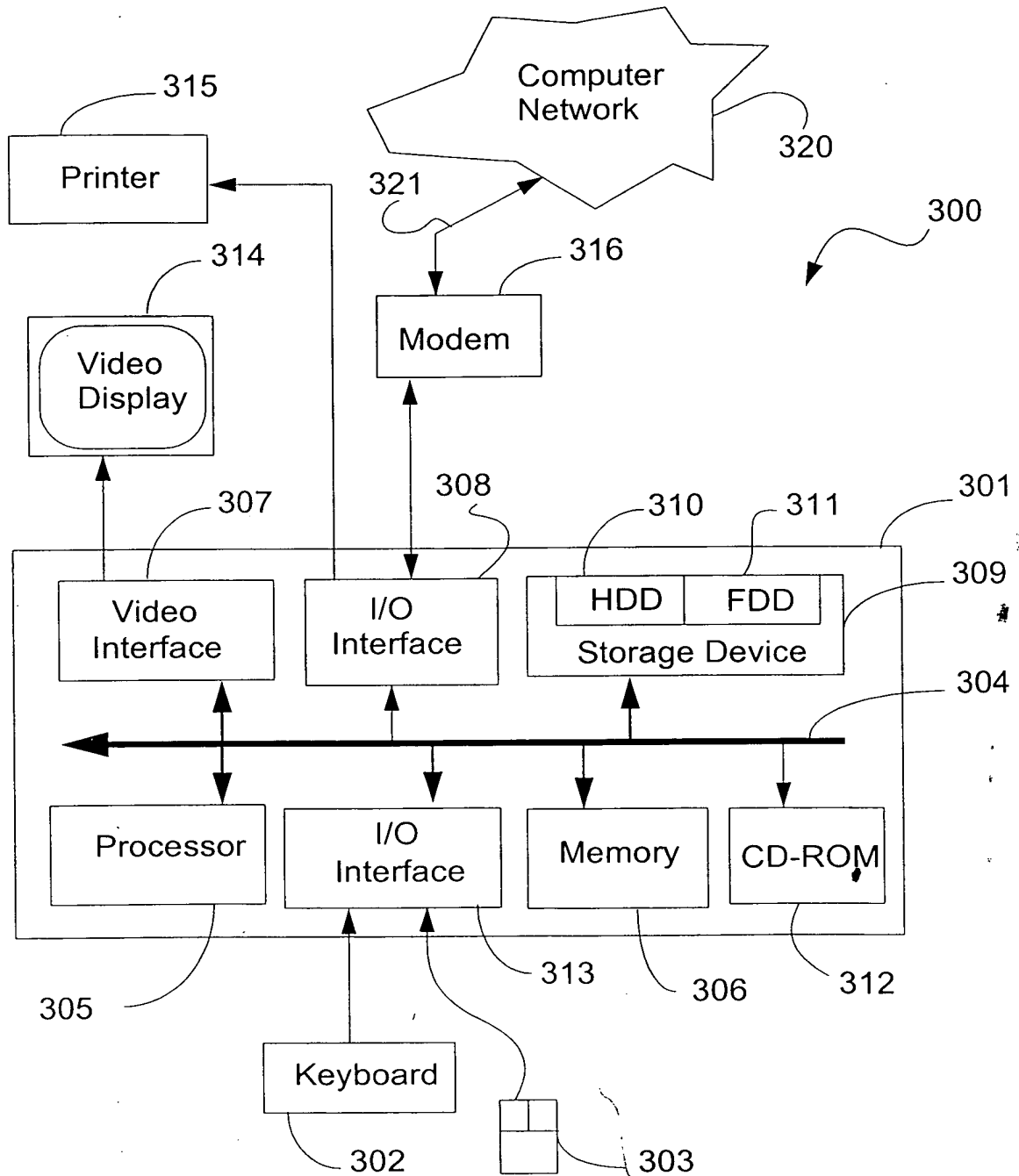


Fig. 3